

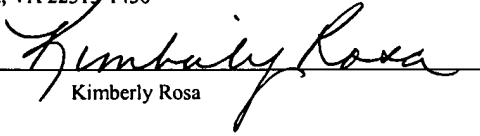
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PATENT
Attorney Docket No.: 02307B-099030US
Client Reference No.: B99-099

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By: _____


Kimberly Rosa

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David W. Ow et al.

Application No.: To Be Assigned

Filed: Herewith

For: DNA RECOMBINATION IN
EUKARYOTIC CELLS BY THE
BACTERIOPHAGE PHIC31
RECOMBINATION SYSTEM

Examiner: To Be Assigned (parent: K.
Katcheves)

Art Unit: To Be Assigned (parent: 1636)

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

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Sir:

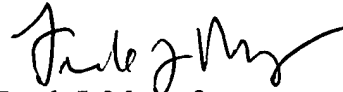
The references cited on the attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/620,800, filed July 21, 2000 (Attorney Docket No. 02307B-099010US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicants, in particular, call the Examiner's attention to U.S. Patent Application 2002/0123145 A1 published on 09-05-2002.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Frank J. Mycroft
Reg. No. 46,946

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			Application Number	To Be Assigned	
			Filing Date	Herewith	
			First Named Inventor	Ow, David W.	
			Art Unit	To Be Assigned (parent:1636)	
Examiner Name	To Be Assigned (parent: K. Katcheves)				
Sheet	1	of	8	Attorney Docket Number	02307B-099030US

U.S. PATENT DOCUMENTS ⁺					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	1.	5,190,871	03-02-1993	Cox et al.	
	2.	5,527,695	06-18-1996	Hodges et al.	
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	11.	2002/0123145 A1	09-05-2002	Ow	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	12.	WO	97/37012		10-09-1997	Commonwealth Scientific and Industrial Research		<input type="checkbox"/>
	13.	WO	99/18222	A	04-15-1999	Alonso Juan C et al.		<input type="checkbox"/>
	14.	WO	99/25821		05-27-1999	Pioneer Hi-Breed Int'l		<input type="checkbox"/>
	15.	WO	00/11155	A	03-02-2000	Leland Stanford Junior University		<input type="checkbox"/>
	16.	WO	00/60091		10-12-2000	Oklahoma Medical Research Found.		<input type="checkbox"/>
	17.	WO	01/07572	A3	02-01-2001	University of California		<input type="checkbox"/>
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NON PATENT LITERATURE DOCUMENTS			
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	86.	OW, "Recombinase-directed plant transformation for the post-genomic era," Plant Molecular Biology, 48:183-200 (2002)	
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	102.	SRIVASTAVA et al., "Single-copy transgenic wheat generated through the resolution of complex integration patterns," Proc. Natl. Acad. Sci. USA, 96:11117-11121 (1999)	

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	103.	STARK et al., "Catalysis by site-specific recombinases, Trends Genetics 8(12):432-439 (1992)	
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Examiner Signature		Date Considered	
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				<i>Application Number</i>	To Be Assigned
				<i>Filing Date</i>	Herewith
				<i>First Named Inventor</i>	Ow, David W.
				<i>Art Unit</i>	To Be Assigned (parent: 1636)
				<i>Examiner Name</i>	To Be Assigned (parent: K. Katcheves)
Sheet	8	of	8	<i>Attorney Docket Number</i>	02307B-099030US

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Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	120.	ZUO et al., "Chemical-regulated, site-specific DNA excision in transgenic plants," Nature Biotechnology, 19:157-61 (2001)	

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